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UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

NINE THIRTY LL INVESTMENTS, LLC, a Delaware limited liability company, NINE THIRTY CAPITAL PARTNERS, LLC, a Delaware limited liability company, LEVY FAMILY PARTNERS LLC, a Delaware limited liability company, NINE No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 10-04443 (SMB)

THIRTY PARTNERS HOLDINGS, LLC, a Delaware limited liability company, and STUART J. RABIN,

Defendants.

STIPULATION AND ORDER FOR VOLUNTARY DISMISSAL OF NINE THIRTY CAPITAL PARTNERS, LLC, NINE THIRTY PARTNERS HOLDINGS, LLC AND STUART J. RABIN WITH PREJUDICE

Irving H. Picard (the "Trustee"), as trustee for the liquidation of the business of Bernard L. Madoff Investment Securities LLC under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, *et seq.*, and the substantively consolidated estate of Bernard L. Madoff individually, by and through his counsel, Baker & Hostetler LLP, and defendants Nine Thirty Capital Partners, LLC, Nine Thirty Partners Holdings, LLC and Stuart J. Rabin ("Defendants"), by and through their counsel, K&L Gates LLP (collectively, the "Parties"), hereby stipulate and agree to the following:

- 1. On November 30, 2010, the Trustee filed the Complaint against defendants and others.
- 2. On June 8, 2016, the Parties entered into a settlement agreement pursuant to the Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Granting Authority and Establishing Procedures for Settlement Agreements in Connection with Avoidance Actions Filed by the Trustee, entered by this Court on November 12, 2010 [Case No. 08-01789, Dkt. No. 3181].
- 3. In accordance with Federal Rule of Bankruptcy Procedure 7041, and Federal Rule of Civil Procedure 41(a), the Parties hereby stipulate to a dismissal with prejudice of the Trustee's claims against Defendants in the above-captioned adversary proceeding (the "Adversary Proceeding"). All Parties will bear their own costs, attorneys' fees and any other

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expenses.

4. Upon the dismissal of Defendants, the caption of the Adversary Proceeding is

hereby amended to delete Defendants from the caption. The amended caption of the Adversary

Proceeding shall appear as indicated in Exhibit A to this Stipulation and Order.

5. The provisions of this Stipulation shall be binding upon and shall inure to the

benefit of the Parties and their respective successors, assigns, estates, heirs, personal

representatives and executors and upon all creditors and parties of interest.

6. This Stipulation may be signed by the Parties in any number of counterparts, each

of which when so signed shall be an original, but all of which shall together constitute one and

the same instrument. A signed facsimile, photostatic or electronic copy of this Stipulation shall

be deemed an original.

[Remainder of Page Intentionally Left Blank; Signature Page to Follow]

Dated: June 16, 2016

Of Counsel:

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Attorneys for Defendants Nine Thirty Capital Partners, LLC, Nine Thirty Partners Holdings,

LLC and Stuart J. Rabin

SO ORDERED

Dated: June 17th, 2016 New York, New York

> /s/ STUART M. BERNSTEIN HONORABLE STUART M. BERNSTEIN UNITED STATES BANKRUPTCY JUDGE